PTO-1390 (Rev. 97-2005) Approved for use through 3/31/2007, OMB 0651-0921

Approved for use through 3/31/2007, OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES		ATTORNEY'S DOCKET NUMBER TP15053USPCT1				
3	ESIGNATED/ELECTED OFFICE (DO/EO/US) CERNING A SUBMISSION UNDER 35 U.S.C. 371	U.S. APPLICATION NO. (If known, see 37 CFR 1.5)				
INTERNAT	ONAL APPLICATION NO. INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED				
PCT/US	805/09305 17 March 2005	19 March 2004				
NOVEL	PHARMACEUTICAL FORMS, AND METHODS OF MAKING	AND USING TEH SAME				
APPLICANT(S) FOR DOÆO/US M. Hickey, M. Peterson, O. Almarsson, M. Zaworotko, T. Shattock, J. McMahon, J. Bis,						
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: J. Remenar,						
1. 🖾 T	his is a FIRST submission of items concerning a submission under 35 U.S.C. 37	and M.	Tawa			
2. T	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.					
3. 🔲 Т	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.					
\$	he US has been elected (Article 31).					
5. 🗓	A copy of the International Application as filed (35 U.S.C. 371(c)(2))					
	a. is attached hereto (required only if not communicated by the International Bureau).					
	b. has been communicated by the International Sureau.					
	c. X is not required, as the application was filed in the United States Received	ring Office (RO/US).				
[6. L]	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).					
	a. Li is attached hereto.					
ron	b. L.J. has been previously submitted under 35 U.S.C. 154(d)(4),					
7. X	Amendments to the claims of the International Application under PCT Article 19	(35 U.S.C. 371(c)(3))				
	a are attached hereto (required only if not communicated by the International Bureau).					
	b. Light have been communicated by the International Bureau.					
	c. have not been made; however, the time limit for making such amendments has NOT expired.					
, ,,,,	d. X have not been made and will not be made.					
8. 📙	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).					
9.	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).					
10	An English language translation of the annexes of the International Preliminary I Article 36 (35 U.S.C. 371(c)(5)).	Examination Report under PCT				
Items	11 to 20 below concern document(s) or information included:					
11.	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.					
12.	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.					
13. 🛚	A preliminary amendment.					
14. X	An Application Data Sheet under 37 CFR 1.76.					
15.	A substitute specification.					
16.	A power of attorney and/or change of address letter.					
17.	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.					
18	A second copy of the published International Application under 35 U.S.C. 154(d))(4).				
19. لسا	A second copy of the English language translation of the international application	n under 35 U.S.C. 154(d)(4).				

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.D. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Melf Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO. PCT/US05/09305		ATTORNEY'S DOCKET NUMBER TPI5053USPCT1	
	1 161/0803/093	.62	171303308761	L
20. Other items or information;				
The following fees have been submitted	***************************************		CALCULATIONS	PTO USE ONLY
21. K Basic national fee (37 CFR 1.492(a))	\$ 300.00	T TO GOL ONE!		
22. 🕅 Examination fee (37 CFR 1.492(c))			300.00	
If the written opinion prepared by ISA/US or the interna	\$			
by IPEA/US indicates all claims satisfy provisi All other situations.	200.00			
PF3	**************************	\$200		
23. X Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International	preliminary examination rep	ort prepared by		
IPEA/US indicates all claims satisfy provisions Search fee (37 CFR 1,445(a)(2)) has been paid on the	\$ 100.00			
International Searching Authority	} * * * * * * * * * *	\$180	100.00	
International Search Report prepared by an ISA other previously communicated to the US by the IB		\$400		
All other situations.	******************	\$500	\$600.00	
TOTAL OF 21, 22 and 23 ≈ [Additional fee for specification and drawings filed.	n naner gvør 100 sheets fø	entration	\$800.00	
sequence listing in compliance with 37 CFR 1, electronic medium) (37 CFR 1,492(j)).				
The fee is \$250 for each additional 50 sheets of	of paper or fraction thereof.			•
	Number of each additional 50 or fraction RATE thereof (round up to a whole number)			
-100 = /50 =	> \$250		\$	1
Surcharge of \$130.00 for furnishing any of the search after the date of commencement of the national stage	fee, examination fee, or the (37 CFR 1.492(h)).	oath or declaration	\$	
CLAIMS NUMBER FILED	NUMBER EXTRA	RATE	\$	······································
Total claims 8 - 20 ≈	0	× \$50	\$	
Independent claims 3 -3=	0	× \$200	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)	+ \$ 360	\$		
	TOTAL OF ABOVE		\$	
Applicant claims small entity status. See 37 CFR				
73	\$600.00			
Processing fee of \$130.00 for furnishing the English tri claimed priority date (37 CFR 1.492(i)).	\$			
	\$600.00			
Fee for recording the enclosed assignment (37 CFR 1, by an appropriate cover sheet (37 CFR 3,28, 3,31). \$4	\$	······································		
	\$600.00			
	Amount to be refunded:	\$		
			Amount to be charged	s

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a. A check in the amount of \$							
SENDALL CORRESPONDENCE TO: TransForm Pharmaceuticals, Inc. c/o Johnson & Johnson One Johnson & Johnson Plaza New Brunswick, NJ 08933	/Paul Burgess/ SIGNATURE Paul Burgess NAME 53,852 REGISTRATION NUMBER						